

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: JayRaz Luchoomun *et al.*

Serial No.: 09/833,407

Filed: April 11, 2001

Title: Compounds and Methods to Increase Plasma HDL Cholesterol Levels and Improve HDL Functionality

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL OF MISSING PARTS

Dear Sir:

On June 11, 2001, the PTO mailed a Notice to File Missing Parts in the above Application. Part 2 of that Notice is enclosed herewith.

The Notice also indicates that the application was filed without an oath or declaration. Applicants submit herewith an executed Declaration of Patent Application.

Applicants also enclose a check for \$65.00 for a late filing fee.

The Commissioner is authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 11-0980.

Respectfully submitted,

Clark G. Sullivan
Registration No. 36,942

KING & SPALDING
191 Peachtree Street
Atlanta, GA 30303
404-572-3513 (Direct Line)

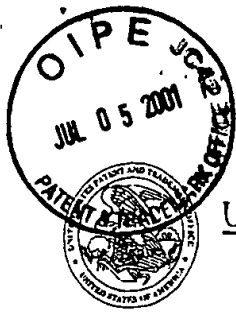


CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this Transmittal of Missing Parts, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Clark G. Sullivan

Date: July 3, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,407	04/11/2001	JayRaz Luchoomun	ATH 113 US

CONFIRMATION NO. 3420

20786
KING & SPALDING
191 PEACHTREE STREET, N.E.
ATLANTA, GA 30303-1763

FORMALITIES LETTER



Date Mailed: 06/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

CV

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/833,407

65.00 05